Atty. Dkt. No. 017661-0180

NITED STATES PATENT AND TRADEMARK OFFICE

Mappicant:

Yushi NIWA

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Appl. No.:

09/978,539

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Filing Date: October 18, 2001

14N 0 7 2002

Group 2100

Examiner:

Unknown

Art Unit:

2131

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56**

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## RELEVANCE OF EACH DOCUMENT

18

The relevance of the foreign-language documents is described in the present specification. English translations of the foreign-language documents are not readily available. However, the absence of such translations does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are attached for documents A1-A3.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Aaron C. Ctratte

David A. Blumenthal

Date: January 3, 2002

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Page 1 of 1 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE 017661-0180 09/978,539 APPLICANT INFORMATION DISCLOSURE CITATION Yushi NIWA FILING DATE **GROUP ART UNIT** (Use several sheets if necessary) 10/18/2001 2131 **U.S. PATENT DOCUMENTS** FILING DATE **DOCUMENT** SUB-DATE REF NAME CLASS NUMBER **CLASS** APPROPRIATE RECEIVED 'JAN 0 7 2002 Group 2100 **FOREIGN PATENT DOCUMENTS** TRANSLATION **DOCUMENT** SUB-DATE COUNTRY **CLASS** REF NUMBER **CLASS** YES NO 2000-184087 06/30/2000 Japan Α1 Abstract 11-96237 04/09/1999 A2 Japan Abstract 10-269078 10/09/1998 Japan Abstract А3 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.

**DATE CONSIDERED** 

**EXAMINER**